



# THE LAW OFFICE OF STEVEN G. ROEDER

Patents, Trademarks and Copyrights

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                       |  |            |
|-----------------------|--|------------|
| In re application of: | Bolt   | )          |
| Serial No:            | 10/072,437   | ) Art Unit |
| Filed:                | February 5, 2002                                     | ) 2166     |
| For:                  | EMULATED BACKUP TAPE DRIVE<br>USING DATA COMPRESSION | )          |
| Examiner:             | Woo, Isaac M.  | )          |
| Attorney Docket:      | Q02-1032-US1 / 11198.85                              | )          |

### TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith is a Supplemental Information Disclosure Statement (form 1449A/PTO modified) along with the reference and a return receipt postcard. The Supplemental Information Disclosure Statement submitted herewith is being filed within three months of the filing of the above-captioned application, or date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits following filing of an RCE, whichever occurs last. 37 CFR 1.97(b).

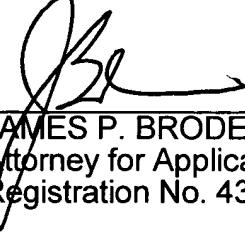
Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this supplemental information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The Commissioner is hereby authorized to charge payment of any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-1141.

Respectfully submitted on this the 5<sup>th</sup> of September, 2006.



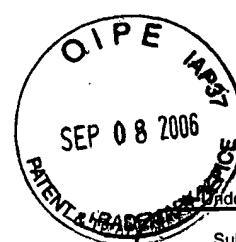
JAMES P. BRODER  
Attorney for Applicant  
Registration No. 43,514

**CERTIFICATE OF MAILING UNDER 37 CFR §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this the 5<sup>th</sup> day of September 2006.



JAMES P. BRODER, Attorney for Applicant--Registration No. 43,514



**Substitute for form 1449/PTC**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 1 of 2

**Complete if Known**

|                        |                         |
|------------------------|-------------------------|
| Application Number     | 10/072,437              |
| Filing Date            | February 5, 2002        |
| First Named Inventor   | Bolt, Thomas B.         |
| Art Unit               | 2166                    |
| Examiner Name          | Woo, Isaac M.           |
| Attorney Docket Number | Q02-1032-US1 / 11198.85 |

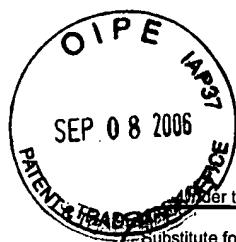
| FOREIGN PATENT DOCUMENTS |                       |   |                  |   |   |
|--------------------------|-----------------------|---|------------------|---|---|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear |
|                          |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       |   |   |
|                          |                       | EP 1164491  | 12/19/2001       | Numajiri et al.                                 | Paras. 19-23, 55-77   |
|                          |                       | EP 0869439  | 10/07/1998       | Chin et al.                                     | Abst. and Fig. 1  |
|                          |                       | WO 99/12098   | 03/11/1999       | Gold et al.                                     | Pages 18-19   |
|                          |                       |   |                  |   |   |
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|                          |                       |   |                  |   |   |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

Approved for use through 09/30/2003. GPO 2001-3381

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| <b>Examiner Name</b>          | Woo, Isaac M.           |
| <b>Attorney Docket Number</b> | Q02-1032-US1 / 11198.85 |

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**Attorney Docket Number**

003-1032-US1 / 11108 86

## NON PATENT LITERATURE DOCUMENTS

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

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